

FORM PTO-1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DATE: July 12, 2005	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC § 371				ATTORNEY DOCKET NUMBER 59395US006	
				U.S. APPLICATION NO. 19/542163 <small>(if known, see 37 CFR § 1.512)</small>	
INTERNATIONAL APPLICATION NO. PCT/US2004/000976		INTERNATIONAL FILING DATE 15/01/2004		PRIORITY DATE CLAIMED 17/01/2003	
TITLE OF INVENTION OPTICAL FILM STRUCTURE, ILLUMINATION APPARATUS AND LIQUID CRYSTAL DISPLAY DEVICE					
APPLICANT(S) FOR DO/EO/US Akira Kida					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC § 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC § 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 USC § 154(d)(4) 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 USC § 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC § 154(d)(4). 20. <input type="checkbox"/> Other items or information: 					

Filing of Papers and Fees by Express Mailing

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date of Deposit

July 12, 2005

Express Mail Label No.

EV611428984US

☒ Customer Number for
Correspondence Address:

32692

U.S. APPLN. NO. (if known) See 37 CFR § 1.5): 10/542163		INTERNATIONAL APPN. NO.: PCT/US2004/000976		ATTORNEY'S DOCKET NO.: 59395US006	
The following fees are submitted:				Calculations	PTO Use Only
21.	<input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....	\$300		300	
22.	<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....			\$0		
All other situations.....			\$200	200	
23.	<input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....			\$0		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....			\$100		
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....			\$400	400	
All other situations.....			\$500		
TOTAL OF 21, 22 and 23=				\$900.00	
<input type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium) The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
SHEET CALCULATION		NUMBER FILED	EXTRA	RATE	Fees
Size Fee for each add'l 50 sheets of appln. over 100		-100	0	\$250.00	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)).					\$0.00
CLAIMS		NUMBER FILED	EXTRA	RATE	Fees
Total claims		10 - 20 =	0	x \$50.00	\$0.00
Independent claims		1 - 3 =	0	x \$200.00	\$0.00
Add'l fee for one or more multiple dependent claims				\$360.00	\$360.00
Total Amount Due					\$1,260.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR § 1.27. The fees indicated above are reduced by 1/2.			\$0.00	
SUBTOTAL =					\$1,260.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f)).					\$0.00
TOTAL NATIONAL FEE =					\$1,260.00
Fee for recording the enclosed assignment (37 CFR § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property					\$0.00
TOTAL FEES ENCLOSED =					\$1,260.00
					Amount to be refunded:
					Amount to be charged
					\$1,260.00
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3723</u> in the amount of \$1260.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3723</u> A duplicate copy of this sheet is enclosed</p> <p>NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					

10/542163

JC20 Rec'd PCT/PTO 1 2 JUL 2005

Signature

Name:

STEPHEN W. BUCKINGHAM

Registration Number:

30,035

Address:

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427